

NOTICE

**NODAL
EXCHANGE**

Respondent: ASPIRE COMMODITIES, LP

NFA ID
Number: 0429730

Case
Number: CD242

Date Action
Is Final: June 25, 2024

Date Action
Is Effective: June 25, 2024

Disposition: Settled

Barrable: No

Committee: COMPLIANCE DEPARTMENT

Penalty: \$15,000.00 fine

Rules: 6.5.7 - Conditional Limit in the Nodal Henry Hub Monthly
Natural Gas Contract

Description of Conduct:

Pursuant to an offer of settlement in which Aspire Commodities, LLC ("Aspire"), neither admitted nor denied the factual allegations or the Nodal Exchange rule violation upon which the penalty is based, Nodal found that, in December 2023 Aspire held a position in the corresponding NYMEX Henry Hub (NG) contract during the last three days of trading of a contract month while Aspire was utilizing a Conditional Limit in the Nodal Henry Hub Monthly Natural Gas Contract. In accordance with the settlement offer, Aspire paid a fine to the Exchange in the amount of \$15,000.